

AMERICAN BAR ASSOCIATION
CENTER FOR PROFESSIONAL RESPONSIBILITY
STANDING COMMITTEE ON CLIENT PROTECTION

Jurisdictions with Trust Account Overdraft Notification Rules

1. **Alabama** Rules of Professional Conduct, Rule 1.15(e)
http://www.sunethics.com/al_1_15.htm
2. **Arizona** Arizona Supreme Court Rule 44(d)
<http://weblinks.westlaw.com/result/default.aspx?cite=UID%28N7BBC789093%2DF611DD866AE%2D30A691975D3%29&db=1000251&findtype=VQ&fn=%5Ftop&ifm=NotSet&pb=4BF3FCBE&rlt=CLID%5FFQRLT2765041499284&rp=%2FSearch%2Fdefault%2Ewl&rs=WEBL9%2E04&service=Find&spa=AZR%2D1000&sr=TC&vr=2%2E0>
3. **Arkansas** Rules of Professional Conduct, Rule 1.15 (b) (5)
http://courts.state.ar.us/rules/current_ark_prof_conduct/client_lawyer_relationship/profcond1_15.cfm
4. **California** The State Bar Act, Article 5.5, Business and Professions Code, Section 6091.1 <http://www.leginfo.ca.gov/cgi-bin/displaycode?section=bpc&group=06001-07000&file=6090-6095.1> or <http://www.calbar.ca.gov/> (“Attorney Resources”, “Rules and Regulations”)
5. **Colorado** Colorado Rules of Professional Conduct. 1.15(f)(6)
<http://www.coloradosupremecourt.com/Regulation/Rules/appendix20/statdsppbf7.html>
6. **Connecticut** Connecticut Practice Book, Superior Court Rules, Chapter 2 § 2-28
<http://www.jud.state.ct.us/pb.htm> or
<http://www.cbf.ctbar.org/PracticeBook.pdf>
7. **Delaware** Rule 1.15A, Trust Account Overdraft Notification, Delaware Lawyers Rules of Professional Conduct.
<http://courts.state.de.us/lfcprules/rule1.15A.pdf>
8. **District of Columbia** **D.C.** Rules of Professional Conduct, Rule 1.19
http://www.dcbar.org/for_lawyers/ethics/legal_ethics/rules_of_professional_conduct/amended_rules/rule_one/rule01_19.cfm

9. **Florida** Florida Bar Rule 5-1.2(c)(1)(B)(4)
<http://www.flabar.org/divexe/rrtfb.nsf/8bf68c7a6fda323085256bc800648cce/e1831c523e86c08f85256bc000696d44?OpenDocument>
10. **Georgia** Georgia Rules of Professional Conduct, Rule 1.15 (III)
http://www.gabar.org/handbook/part_iv_after_january_1_2001_-_georgia_rules_of_professional_conduct/rule_115iii_record_keeping_trust_account_overdraft_notification_examination_of_records/
11. **Hawaii** Hawaii Rules of Court, Rules of the Supreme Court of Hawaii, Interest Bearing Trust Accounts Program, Rule 11(f) (2)
http://www.state.hi.us/jud/ctrules/rsch.htm#Rule_11
12. **Idaho** Idaho Bar Commission Section III Rule 302(a)(2)(B) and Rules of Professional Conduct Rule 1.15(f).
http://www2.state.id.us/isb/mem/ta_rules.htm#302
13. **Indiana** Indiana Rules of Court, Rules for Admission to the Bar and the Discipline of Attorneys, Rule 23 §29 (b): Maintenance of Trust Funds in Approved Financial Institutions; Overdraft Notification
http://www.in.gov/judiciary/rules/ad_dis/index.html#_Toc202257372
14. **Iowa** Iowa Court Rules, Chapter 45, Client Trust Account Rules, Rule 45.4(4)
<http://www.legis.state.ia.us/Rules/Current/court/courtrules.pdf>
15. **Kansas** Supreme Court Rule 226, Kansas Rules of Professional Conduct, Rule 1.15(f)
<http://www.kscourts.org/rules/Rule-Info.asp?r1=Rules+Relating+to+Discipline+of+Attorneys&r2=40>
16. **Kentucky** Kentucky Rules of Professional Conduct, Rule 1.15(a)
[http://www.kybar.org/documents/scr/scr3/scr_3.130_\(1.15\).pdf](http://www.kybar.org/documents/scr/scr3/scr_3.130_(1.15).pdf)
17. **Louisiana** Louisiana Supreme Court Rule XIX, Section 28(D).
http://www.lasc.org/rules/orders/2006/RULE_XIX.pdf

18. **Maryland** Rules of Court 16-610, Maryland Rules and Rules of Professional Conduct (1.15) (a)

<http://michie.lexisnexis.com/maryland/lpext.dll?f=templates&fn=main-h.htm&cp=>
(access through Lexis)
19. **Massachusetts** Rules of the Supreme Judicial Court, Rule 3:07; Massachusetts Rules of Professional Conduct, Rule 1.15 (h) (effective January 1, 2004)
http://www.state.ma.us/obcbbo/rule115_new.htm
20. **Minnesota** Minnesota Rules on Lawyers Professional Responsibility, Rule 1.15 (k)
<http://www.mncourts.gov/lprb/05mrpc.html#r115>
21. **Missouri** Rule 4-1.15 of the Missouri Rules of professional Conduct.
<http://www.mobar.org/data/esq09/oct16/quickread.pdf>
22. **Montana** Montana Rules of Court, Rules for Trust Account Overdraft Notification

<http://www.montanabar.org/associations/7121/files/IOLTA%20Trust%20Acct%20Notification.pdf>
23. **Nebraska** Trust Account Overdraft Notification Rules. Supreme Court Rules, Section 3-904
<http://www.supremecourt.ne.gov/rules/html/Ch3/art9/3-904.shtml>
24. **Nevada** Supreme Court Rules, Rule 78.5(2)
<http://leg.state.nv.us/CourtRules/scr.html>
25. **New Jersey** Supreme Court Rule 1:21-6(b) and Rules of Professional Conduct, Rule 1.15(d) (effective February 1, 2003)
<http://www.judiciary.state.nj.us/rules/r1-21.htm> or
<http://www.judiciary.state.nj.us/rpc97.htm> - 1.15
26. **New York** Rules of Professional Conduct, Rule 1.15; and 22 N.Y.C.R.R. Part 1300.
<http://www.nysba.org/AM/Template.cfm?Section=Home&template=/CM/ContentDisplay.cfm&ContentID=26092>
27. **North Carolina** Rules 1.15-2 and 1.15-3 of the Rules of Professional Conduct

<http://www.ncbar.com/rules/rules.asp>

28. **North Dakota** Rule 1.15, Rules of Professional Conduct
<http://www.ndcourts.gov/Court/Notices/20080333/Rule1.15.htm>
29. **Ohio** Section 4705.10 of the Ohio Revised Code.
http://www.legislature.state.oh.us/bills.cfm?ID=126_HB_185
30. **Oklahoma** Rule 1.15, Oklahoma Rules of Professional Conduct
<http://www.oscn.net/applications/oscn/deliverdocument.asp?cite=2007+ok+22>
31. **Oregon** Rules of professional Conduct, Rule 1.15-2 IOLTA
Accounts and Trust Account Overdraft Notification
http://www.osbar.org/_docs/rulesregs/orpc.pdf
32. **Pennsylvania** Pennsylvania Rules of Disciplinary Enforcement, Subchapter B, Rule 221(c), Mandatory Overdraft Notification;
Pennsylvania Code Chapter 81, Subchapter B, § 81.108;
Chapter 91 Subchapter H, Overdraft Notification §91.174
<http://www.pacode.com/secure/data/204/chapter83/s221.html> or
<http://www.pacode.com/secure/data/204/chapter81/chap81toc.html> or
<http://www.pacode.com/secure/data/204/chapter91/s91.174.html>
33. **Rhode Island** Rule 2, Article 4 of the Supreme Court Rules (?)
<http://www.courts.state.ri.us/supreme/bar-court-rules-procedure.htm>
34. **South Carolina** Rule 1.15, South Carolina Rules of Professional Conduct.
<http://www.judicial.state.sc.us/courtReg/displayRule.cfm?ruleID=407.0&subRuleID=RULE%201%2E15&ruleType=APP>
35. **Tennessee** Rules of the Supreme Court of Tennessee, Rule 9, Section 29.1. Detection and Prevention of Trust Account Violations;
Rule 8, Rules of Professional Conduct, Comment 2
http://www.tsc.state.tn.us/opinions/tsc/rules/TNRulesOfCourt/06Supct1_9.htm
36. **Utah** Rules of Professional Conduct, Rule 1.15(a)
http://www.utcourts.gov/resources/rules/ucja/ch13/1_15.htm
37. **Vermont** Rules of Professional Conduct, Rule 1.15C
<http://www.vermontjudiciary.org/LC/Shared%20Documents/PRB-All-Rules.pdf>

38. **Virginia** Rules of Virginia Supreme Court, Rule 1.15 (f)(1)(ii)
http://www.vsb.org/docs/2008-09_rules-pc.pdf
39. **Washington** Washington State Court Rules: Rules for Enforcement of Lawyer Conduct. Title 15: Audits and Trust Account Overdraft Notification, Rule 15.4 (a)-(d) Overdraft Notification (effective 10/1/02)
http://www.courts.wa.gov/court_rules/?fa=court_rules.list&group=ga&set=ELC or
http://www.courts.wa.gov/court_rules/?fa=court_rules.display&group=ga&set=ELC&ruleid=gaelc1515.04
40. **Wisconsin** Supreme Court Rule 20: 1.15 (h)
<http://www.wicourts.gov/sc/scrule/DisplayDocument.pdf?content=pdf&seqNo=35843>

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